

11002 U.S. PRO
09/931643
08/15/01

SECTION 2: FORM PTO 1449 - MODIFIED

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Schofield, et al. Atty Dkt: 2204/A90
Serial No: Not yet assigned Date: August 15, 2001
Date Filed: Herewith
Invention: Optical Switch Router

Commissioner for Patents
Washington, DC 20231

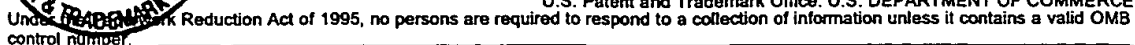
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANTS'
INFORMATION DISCLOSURE STATEMENT

Other Documents

Exam. Ref.	Init.	No.	Name
	U	AA	"Simple Wavelength Assignment Protocol", Stephen Suryaputra, et al., October 26, 1999.
	U	AB	"Work on the Automatic Switched Optical Network", Contribution to T1 Standards Project - T1X1.5, Newsome, et. al., January, 2000.
	U	AC	Memo: "MPLS Control Plane for Switched Optical Networks", MPLS Working Group Internet Draft, Krishnaswamy, et, al., February 2000.
	U	AD	Memo: "IP Over Optical Networks: A Summary of Issues" Internet Draft, N. Chandhok, et al., July 2000.
	U	AE	"Architecture for the Automatic Switched Optical Network" First Draft of G.ason, Mayer, September 13, 2000 - September 15, 2000.
	U	AF	"Controlling Optical Data Networks with an Application Specific routing Protocol", Cisco Systems, Inc., 2000.
	U	AG	Memo: "Generalized MPLS - Signaling Functional Description" Ashwood- Smith, Network Working Group Internet Draft, July 2001.
	U	AH	"ASON - Requirements at the Client APP", Newsome, et. al., September 2000.
	U	AI	"Automatically Switched Optical Networks (ASON)", Nortel Networks Limited, June 2000.
	U	AJ	"MPLS: A Progress Report", Peter Ashwood-Smith, et al., November 1, 1999, URL: http://www.networkmagazine.com/article/NMG20000426S0015 .

Examiner: Christina Y. Henry

Date Considered: 9-30-03



Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

[illegible]

398/85
398/83
398/83
398/83
398/59
398/48
385/24

Technology Center 2600

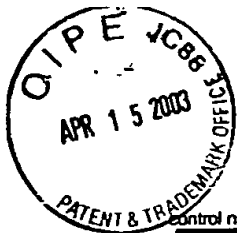
Examiner Signature	<i>Ilse May Laine</i>	Date Considered	9-30-03
-----------------------	-----------------------	--------------------	---------

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

compliance and not considered. Include copy of this form with next communication to applicant.

1 Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. **DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO:** Assistant Commissioner for Patents, Washington, DC 20231.



PTO/SB/08A (10-01)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

Substitute for form 1449/APTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)				Complete if Known	
				Application Number	09/931643
				Filing Date	8/15/2001
				First Named Inventor	Schofield
				Art Unit	2633
				Examiner Name	
				Attorney Docket Number	13071BAUS02U 120-173
Sheet	1	of	1		

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. ¹	Document Number	Issue Date MM- DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number - Kind Code ² (if known)			
ll		US-5,889,600	03/30/1999	McGuire	
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			

class/subs
398/50

RECEIVED
APR 17 2003
Technology Center 2600

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Country Code ³ - Number ⁴ - Kind Code ⁵ (if known)				
ll		IPER for PCT/US01/25520		Nortel Networks Ltd.		

Examiner Signature	<i>Christina Y. Leung</i>	Date Considered	9-30-03
-----------------------	---------------------------	--------------------	---------

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Applicant's unique citation designation number (optional). ²See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04.

³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.